

### 「一開必賞」證券大抽獎 - 1 股英特爾公司 ( 股票編號：INTC.US ) 獎賞之條款及細則

- 1 股英特爾公司 ( 股票編號：INTC.US ) 獎賞 ( 「獎賞」 ) 只適用於由大新銀行有限公司 ( 「本行」 ) 舉辦的「一開必賞」證券大抽獎之「1 股英特爾公司 ( 股票編號：INTC.US ) 」得獎者 ( 「合資格得獎者」 ) 。
- 每位合資格得獎者只可享獎賞乙次。
- 獎賞將於 2024 年 10 月 31 日或之前存入合資格得獎者之相關證券戶口內。
- 合資格得獎者必須於本行存入獎賞時仍然持有本行有效之證券戶口及其證券結算戶口，使用證券戶口網上月結單及網上通知書服務及維持開通於本行的美國股票買賣服務，方可享獎賞。
- 合資格得獎者仍須按本行現行之「證券服務收費」繳付其他交易費用，包括但不限於沽出有關獎賞之交易費用、SEC 交易費及交易徵費等。
- 本行不會就任何以證券股份形式發放的獎賞作出任何保證及建議、或承擔任何責任，如合資格得獎者因任何原因不適合持有本行發放的獎賞，請於本行發送該獎賞前通知本行，本行亦不會因此以其他替代品取代該獎賞。
- 本行以證券股份形式發放的獎賞可能因不可抗力的因素 ( 例如有關證券於香港交易所停牌，除牌，或因有關公司未能成功於香港交易所上市等 ) 以致未能發放，本行不會因此以其他替代品取代該獎賞。
- 本行以證券股份形式所發放的獎賞並不構成對任何人士提出進行任何證券交易的招攬、邀請或建議，亦不構成對未來任何證券價格變動的任何預測。
- 獎賞不能轉讓，亦不可兌換現金、其他產品及服務或折扣優惠。除特別註明外，獎賞不能與本行有關服務之其它優惠同時享用。若合資格得獎者同時獲享其他推廣優惠，本行保留批准合資格得獎者之全部或部分獎賞之權利。
- 本行保留隨時修訂、暫停及 / 或終止本獎賞及修訂本條款及細則之權利，而毋須另行通知。如有任何爭議，本行保留最終決定權。
- 本條款及細則受香港特別行政區 ( 「香港」 ) 法律管轄並應根據香港法律詮釋。任何因本條款及細則而引起的爭議均受香港法院的非專有司法管轄權管轄。
- 任何人士若非本條款及細則的一方，不可根據《合約 ( 第三者權利 ) 條例》 ( 香港法例第 623 章 ) 強制執行本條款及細則的任何條文。
- 如本條款及細則之中文版本與英文版本在文義上出現分歧，概以英文版本為準。

**證券服務風險披露聲明：**

投資涉及風險。證券價格可升可跌，有時可能會非常波動，甚至變成毫無價值。買賣證券未必一定能夠賺取利潤，反而可能會招致損失。投資前客戶應考慮其本身的投資經驗、投資目標及風險承受程度，並參閱相關證券投資服務的條款及細則。

**在香港以外地方收取或持有的客戶資產的風險披露聲明：**

大新銀行有限公司在香港以外地方收取或持有的客戶資產，是受到有關海外司法管轄區的適用法律及規例所監管的。這些法律及規例與《證券及期貨條例》（第 571 章）及根據該條例制訂的規則可能有所不同。因此，有關客戶資產將可能不會享有賦予在香港收取或持有的客戶資產的相同保障。客戶亦應就任何進行海外投資時可能涉及之稅務責任，自行尋求相關專業意見。

除非情況另有所指，否則本文件並不構成對任何人士提出進行任何證券交易的招攬、邀請或建議，亦不構成對未來任何證券價格變動的任何預測。

本文件未經證券及期貨事務監察委員會或香港任何監管機構審閱。

本文提及的服務 / 產品並不是以歐洲聯盟的人士為目標。

**"Open to Win" Securities Lucky Draw - Terms and Conditions of 1 Share of Intel Corporation**  
**(stock code: INTC.US) Reward**

1. The 1 Share of Intel Corporation (stock code: INTC.US) Reward (the "Reward ") is only applicable to the winners of 1 Share of Intel Corporation (stock code: INTC.US) under the "Open to Win" Securities Lucky Draw launched by Dah Sing Bank, Limited ("Bank") ("Eligible Winners").
2. Each Eligible Winner will be entitled to the Reward ONCE only.
3. The Reward will be deposited to the related securities accounts of Eligible Winners on or before 31 October 2024.
4. Eligible Winners must still maintain their relevant valid securities accounts and related securities settlement accounts with the Bank, use Securities e-Statement and e-Advice services and maintain the US Stock Trading Services with the Bank at the time the Reward is to be deposited in order to be entitled to the Reward.
5. Eligible Winners are responsible for the transaction fees according to the prevailing "Bank Service Charges" of the Bank in relation to the deposit of the Reward, including but not limited to the selling brokerage fee, SEC fee and FINRA Trading fee.
6. The Bank does not guarantee, provide any advice or is not responsible for any rewards issued in the form of securities shares. If it is not suitable for an Eligible Winner to hold the Reward due to whatever reasons, please notify the Bank immediately before the deposit of the relevant securities. The Bank will not replace such securities reward with other substitutes in that case.
7. The Bank may not be able to distribute the Reward due to force majeure factors (for example, the relevant stocks are suspended by the Stock Exchange, delisted, or fail to be listed on the Stock Exchange, etc.). No alternative reward will be offered in that case.
8. The Reward does not constitute any offer, invitation or recommendation to any person to enter into any securities transaction nor does it constitute any prediction of likely future movements in prices of any securities.
9. The Reward is not for sale / resale and is non-transferable or non-exchangeable for cash, other products, services or discount offers. Unless specially specified, the Reward cannot be used in conjunction with other offers of relevant services provided by the Bank. If Eligible Winners are entitled to the Reward in conjunction with other promotional offer(s), the Bank reserves the right to grant the Eligible Winners one of or part of the entitled offers only.
10. The Bank reserves the right to amend, suspend and / or discontinue the Reward and to amend these Terms and Conditions at any time without prior notice. If there are any disputes, the decision of the Bank shall be final and conclusive.
11. These Terms and Conditions shall be governed by and construed in accordance with the laws of the Hong Kong Special Administrative Region ("Hong Kong"). Any dispute arising under these Terms and Conditions shall be subject to the non-exclusive jurisdiction of the courts of Hong Kong.
12. A person who is not a party to these Terms and Conditions may not enforce any of their provisions under the Contracts (Rights of Third Parties) Ordinance (Cap 623 of the Laws of Hong Kong).
13. In case of any discrepancies between the English and Chinese versions of these Terms and Conditions, the English version shall prevail.

**Securities Services Risk Disclosure:**

Investment involves risks. The price of securities fluctuates, sometimes dramatically. The price of securities may move up or down and may become valueless. Losses may be incurred rather than profits made as a result of buying and selling securities. Customers should carefully consider whether the investment products or services mentioned herein are appropriate for them in view of their investment experience, objectives and risk tolerance level, and read the terms and conditions of relevant Securities Services before making any investment decision.

**Risks of client assets received or held outside Hong Kong:**

Client assets received or held by Dah Sing Bank, Limited outside Hong Kong are subject to the applicable laws and regulations of the relevant overseas jurisdiction which may be different from the Securities and Futures Ordinance (Cap.571) and the rules made thereunder. Consequently, such client assets may not enjoy the same protection as that conferred on client assets received or held in Hong Kong. Customers should also seek relevant professional advice on any tax obligations that might arise from investing in overseas products.

Unless the context requires otherwise, this document does not constitute any offer, invitation or recommendation to any person to enter into any securities transaction nor does it constitute any prediction of likely future movements in prices of any securities.

This document has not been reviewed by the Securities and Futures Commission or any regulatory authority in Hong Kong.

The services / products mentioned herein are not targeted at customers in the European Union.