

Securities Services Charges - Shanghai A Share

1. Securities Trading Brokerage Fee

- Retail Banking Securities Services
 - Trading Shanghai A Share (All Channels) 0.25% of transaction amount, minimum RMB80
- Private Banking Securities Services
 - Trading Shanghai A Share 0.4% of transaction amount, minimum RMB240

2. Securities Trading other charges

- Handling Fee 0.00696% of the consideration of a transaction per side
- Securities Management Fee 0.002% of the consideration of a transaction per side
- Transfer Fee 0.06% on face value per side
- Stamp Duty 0.1% of the consideration of a transaction on the seller

3. Safe Custody*

- VIP Banking & Private Banking Customer Securities Accounts HK\$100
- General Customer Securities Accounts HK\$150
The fee charged half-yearly at early of June and December each year, applicable for those securities accounts which have securities transaction(s) or securities holdings in the 6 months period ended 31 May and 30 November respectively.

4. Settlement Instruction (Transfer out)

RMB5 per board lot, minimum RMB30

5. Collection of Dividends / Interest or Scrip in lieu of cash dividend

0.5% on dividend / interest, minimum RMB30, maximum RMB2,500

6. Exercise of Warrants / Rights Issue

RMB2 per board lot, minimum RMB30

7. Bonus Issue / Warrant / Subdivision / Consolidation / Conversion of Shares

RMB30 per event

8. Registration Charge

Registration into HKSCC Nominee or Dah Sing Nominee Company registrars' charges

9. Cash Offers and other corporate actions with cash consideration

0.5% on each cash consideration, minimum RMB30, maximum RMB2,500

10. Dividend Claim Charges

RMB250 plus CCASS/company registrars' charges and any other related charges

11. Dividend Tax

Please refer to the related information published on HKEx's Shanghai-Hong Kong Stock connect webpage.

12. Capital Gain Tax

Please refer to the related information published on HKEx's Shanghai-Hong Kong Stock connect webpage.

13. Online Real-time Stock Quote Services*

Snapshot stock quote service

- (i) VIP Banking Customers Securities Accounts 1,000 free quotes per month^Δ
- (ii) General Customer Securities Accounts 500 free quote per month^Δ
(Additional quotes will be charged at HK\$0.10 per quote)

* The charge is counted on per securities account basis.

^Δ Free quote offered to customers for each month can only be enjoyed during that particular month. Unused free quotes of a particular month will be cancelled at month end.